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J1017 U.S. PTO  
10/052758  
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FORM PTO-1082

EXPRESS MAIL LABEL NO.: EL640514549US  
**PATENT**  
Attorney Docket No.: 876635-1  
Date: January 18, 2002

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: NICHOLAS DE BEER and MARTIN DIECK

For: METHOD AND APPARATUS FOR CLOSING SEPTAL DEFECTS

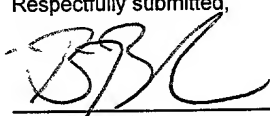
☒ **APPLICANT(S) CLAIMS SMALL ENTITY STATUS. SEE 37 CFR 1.27.**

Enclosed are:

- 24 Pages of Specification
- 16 Sheets of drawing (☒ formal ☐ informal)
- ☒ Declaration and Petition. ☐ Will follow.
- ☐ Form PTO-1595 and an assignment of the invention to ☐ Will follow.
- ☐ A certified copy of ☐ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow.
- ☐ An associate Power of Attorney
- ☐ Information Disclosure Statement, Form PTO 1449 and ☐ prior art reference(s).
- ☐ Preliminary Amendment.
- ☒ General Authorization/Request to Petition for Extension of Time.
- ☒ Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$370.00	OR		\$740.00
TOTAL CLAIMS	23	-20=	3	\$-0-	OR	X 18	\$-0-
INDEP CLAIMS	4	-3=	1	\$42.00	OR	X 84	\$-0-
MULTIPLE DEP CLAIMS PRESENTED			X+ 140	\$-0-	OR	+ 280	\$-0-
			TOTAL:	\$439.00		TOTAL:	\$

- ☐ Please charge my Deposit Account No. 50-0639 the amount of XX. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$439.00 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$XX to cover the Assignment Recordation Fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associate with this communication or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.
  - ☐ Any patent application processing fees required under 37 CFR 1.17.
  - ☐ The issue fee set in 37CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).
  - ☐ Any filing fees under 37 CFR 1.16 for presentation or extra claims.

Respectfully submitted,  
  
Brian M. Berliner  
Registration No. 34,549

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**PATENT**

Docket No.: 876635-1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Nicholas De Beer and Martin Dieck

For: Method And Apparatus For Closing Septal Defects

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**CERTIFICATE OF MAILING**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

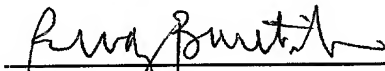
"EXPRESS MAIL" Mailing Label No.: EL640514549US

Date of Deposit: January 18, 2002

I hereby certify that an application for patent, including:

24 pages of Specification (which includes 23 claims and a one-page Abstract); 16 Sheets of formal Drawings; an executed Declaration and Petition; a General Authorization/Request to Petition for Extensions of Time; a check in the amount of \$439.00 to cover the filing fee; a Transmittal Letter (Form PTO 1082); and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: January 18, 2002

  
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Rudy C. Baugista